

Efficient and Predictable tools with Orthologic-Based Reasoningc

Simon Guilloud

EPFL

Lausanne, Switzerland

{firstname.lastname}@epfl.ch

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- ▶ Heuristics methods are immensely useful in practice, but offer few guarantees.
 - ▶ Instability between versions
 - ▶ Non-determinism
 - ▶ Bugs hard to reproduce
 - ▶ Trial and Error for the user

Example: Type Checking

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Type checking:

Typeclass resolution, subtyping with union/disjunction types, liquid/refinement types, proving disjointness, etc.

- ▶ Not logically complete
- ▶ As expressive as possible
- ▶ Same behaviour in all contexts and machines
- ▶ Reasonably fast
- ▶ Compatible with other elements of the compiler/type system

Efficient and Predictable building blocks for verification tools

- ▶ Incomplete, but...
- ▶ Clear completeness guarantees
- ▶ Efficient (\approx polynomial)
- ▶ Combines with other approaches
- ▶ Reliable, Reusable, Modular

One particularly important domain: classical **propositional logic**

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- ▶ Validity and Satisfiability are (co)NP-complete

“Is a given formula ϕ true?”

- ▶ Most interesting problems are computationally hard (interpolation, unification modulo, ...)

- ▶ Can we obtain efficient, predictable algorithms for well-characterized weakening of classical propositional logic?
- ▶ What about intuitionistic logic? Not better: deciding validity is PSPACE-complete.

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- ▶ What about intuitionistic logic? Not better: deciding validity is PSPACE-complete.
- ▶ Other Possibility: Orthologic

Ortholattices

- ▶ The propositional logic whose structure is that of Ortholattices
- ▶ \wedge, \vee, \neg

Classical Logic	Boolean Algebras
Intuitionistic Logic	Heyting Algebras
Orthologic	Ortholattices

Orthologic

Commutativity

$$x \vee y = y \vee x$$

$$x \wedge y = y \wedge x$$

Associativity

$$x \vee (y \vee z) = (x \vee y) \vee z$$

$$x \wedge (y \wedge z) = (x \wedge y) \wedge z$$

Idempotence

$$x \vee x = x$$

$$x \wedge x = x$$

Constants laws

$$x \vee 1 = 1$$

$$x \wedge 0 = 0$$

Double negation

$$\neg\neg x = x$$

Excluded middle

$$x \vee \neg x = 1$$

$$x \wedge \neg x = 0$$

De Morgan's law

$$\neg(x \vee y) = \neg x \wedge \neg y$$

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Absorption

$$x \vee (x \wedge y) = x$$

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Commutativity	$x \vee y = y \vee x$	$x \wedge y = y \wedge x$
Associativity	$x \vee (y \vee z) = (x \vee y) \vee z$	$x \wedge (y \wedge z) = (x \wedge y) \wedge z$
Idempotence	$x \vee x = x$	$x \wedge x = x$
Constants laws	$x \vee 1 = 1$	$x \wedge 0 = 0$
Double negation	$\neg\neg x = x$	
Excluded middle	$x \vee \neg x = 1$	$x \wedge \neg x = 0$
De Morgan's law	$\neg(x \vee y) = \neg x \wedge \neg y$	$\neg(x \wedge y) = \neg x \vee \neg y$
Absorption	$x \vee (x \wedge y) = x$	$x \wedge (x \vee y) = x$

- Boolean Algebra = Ortholattice + distributivity

Distributivity: $x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$

Example

In orthologic, given

$$\neg(\neg a \vee (a \wedge b))$$

Does

$$(\neg c \vee b) \vee (\neg b \wedge (c \vee \neg a))$$

hold?

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Does

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hold?

Yes (and hence so does it in classical logic)

Why is it interesting?

Orthologic has good properties:

- ▶ $\mathcal{O}(n^2)$ normalization algorithm¹

¹Guilloud, Bucev, Milovančević, Kunčak. Formula Normalizations in Verification. CAV 2023.

Orthologic Normal Form

Definition

Let \mathcal{T} be the set of terms over $(\wedge, \vee, \neg, 0, 1)$.

$f : \mathcal{T} \rightarrow \mathcal{T}$ is a normal form function if

$$\forall w_1, w_2 \in \mathcal{T}, w_1 \sim w_2 \iff f(w_1) = f(w_2)$$

Theorem

There exists a normal form function for OL computable in $\mathcal{O}(n^2)$.

Moreover, it computes a term of smallest size.

Orthologic Normal Form

Example:

$$[\neg(a \wedge \neg b) \wedge (\neg a \vee c)] \vee b \rightsquigarrow \neg a \vee b$$

- ▶ Fully compatible with structure sharing
- ▶ Never increases size
- ▶ Normal form is equivalent, not just equisatisfiable

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Efficient, predictable, modular building block

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- ▶ Proof system with $\mathcal{O}(n^3)$ proof search with non-logical axioms ($\mathcal{O}(n^2)$ without axioms)⁴

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⁴Guilloud, Kunčak. Orthologic with Axioms. POPL 2024.

Proof System for Orthologic

Sequent-Calculus-like:

ϕ^L, ψ^R provable $\iff \phi \leq \psi$ valid in all ortholattices

Classical Logic	Sequent Calculus LK
Intuitionistic Logic	max. one formula on the right
Orthologic	max. two formulas total

Proof System for Orthologic

Sequent Calculus style proof system:

$$\begin{array}{c} \frac{}{\phi^L, \phi^R} \text{Hyp} \\[10pt] \frac{\Gamma, \psi^R \quad \psi^L, \Delta}{\Gamma, \Delta} \text{Cut} \quad \frac{\Gamma}{\Gamma, \Delta} \text{Weaken} \\[10pt] \frac{\Gamma, \phi^L}{\Gamma, (\phi \wedge \psi)^L} \text{LeftAnd} \quad \frac{\Gamma, \phi^R \quad \Gamma, \psi^R}{\Gamma, (\phi \wedge \psi)^R} \text{RightAnd} \\[10pt] \frac{\Gamma, \phi^L \quad \Gamma, \psi^L}{\Gamma, (\phi \vee \psi)^L} \text{LeftOr} \quad \frac{\Gamma, \phi^R}{\Gamma, (\phi \vee \psi)^R} \text{RightOr} \\[10pt] \frac{\Gamma, \phi^R}{\Gamma, (\neg \phi)^L} \text{LeftNot} \quad \frac{\Gamma, \phi^L}{\Gamma, (\neg \phi)^R} \text{RightNot} \\[10pt] \frac{}{\Gamma, \Delta} \text{Ax}(\Gamma, \Delta) \quad \text{If } \Gamma, \Delta \text{ is an axiom} \end{array}$$

Γ and Δ are arbitrary annotated formula, or no formula.

Proof System for Orthologic

Adding axioms makes Orthologic more expressive

- ▶ Reasoning within a body of knowledge
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- ▶ Allowing axioms allows stating and prove more things

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Example: set of known facts of classical logic, asserted by a solver

Cut elimination

Let A be a set of axioms:

Theorem

If a sequent S is provable, it has a proof where the only cut formulas are among the axioms in A , i.e. $\psi \in A$.

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Corollary

The proof system enjoy the *Subformula Property*: If a sequent S is provable, it has a proof where only subformulas of S and axioms in A appear.

Efficient Proof Search

The Subformula property lets us devise an efficient proof search algorithm:

Algorithm: Proof Search for OL with Axioms

```
1 def prove( $\Gamma$ ,  $\Delta$ )  
2   Find all rules that can conclude with  $\Gamma$ ,  $\Delta$   
3   Recursively solve the  $m$  smaller formulas  
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Let n be the size of the input (axioms + goal):

- ▶ at most $\mathcal{O}(n^2)$ different inputs

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- ▶ at most $\mathcal{O}(n^2)$ different inputs
- ▶ $m = \mathcal{O}(1 + |A|)$
- ▶ Running time: $\mathcal{O}(n^2 \cdot (1 + |A|))$

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- ▶ Proof system with $\mathcal{O}(n^3)$ proof search with non-logical axioms ($\mathcal{O}(n^2)$ without axioms)²
- ▶ Classically complete for important classes of formulas

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Classical completeness

OL with axioms is complete for Horn clauses and extensions of Horn clauses

Horn clause $\{\neg a_1, \dots, \neg a_n, b\}$ becomes $(a_1 \wedge \dots \wedge a_n)^L, b^R$

Theorem

A set of Horn clauses is satisfiable in OL if and only if it is satisfiable in CL.

Also true for renamed Horn, extended Horn and 2SAT

Predicate Orthologic

- ▶ Propositional Orthologic can be extended to Predicate Orthologic (with axioms)
- ▶ Of interest: Effectively Propositional Orthologic (i.e. predicates, constants and variables but no functions nor quantifiers)

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- ▶ Of interest: Effectively Propositional Orthologic (i.e. predicates, constants and variables but no functions nor quantifiers)
- ▶ Complete for Horn Clauses \implies Extension of Datalog

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- ▶ Classically complete for important classes of formulas
- ▶ Has interpolation property⁴
- ▶ Other useful and interesting logical properties.

³Guilloud, Bucev, Milovančević, Kunčak. Formula Normalizations in Verification. CAV 2023.

³Guilloud, Kunčak. Orthologic with Axioms. POPL 2024.

⁴Guilloud, Gambhir, Kunčak. Interpolation and Quantifiers in Ortholattices. VMCAI 2024

Interpolation

Theorem

Let A and B be propositional formulas. If $A \vdash B$ then there exists a formula I such that:

$$A \leq I \leq B$$

and $FV(I) \subseteq FV(A) \cap FV(B)$.

Proof.

Show it for sequents. By induction on the proof of A^L, B^R



Additional Properties

- ▶ OL admits a Tseitin-like normal form
- ▶ OL can be simulated by width 5 resolution
- ▶ More properties of Effectively Propositional OL

Formalized OL proof system (without axioms) in Coq⁵.

- ▶ Mechanized Cut Elimination
- ▶ Soundness of orthologic proof search, with memoization and reference equality
- ▶ Tactic (using reflection) for OL equivalence and normalization, including for the bool type.

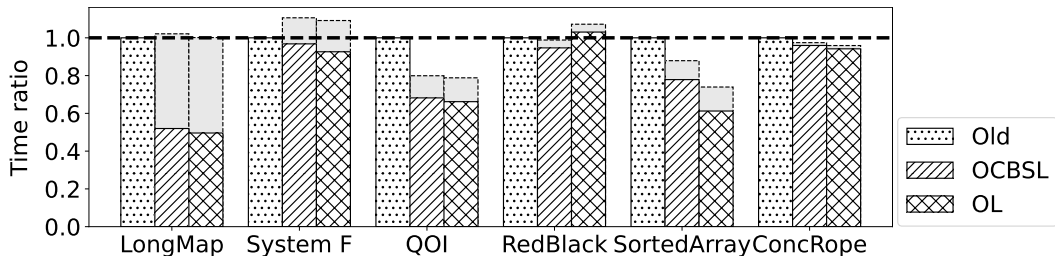
⁵Guilloud, Pit-Claudel. Verified and Optimized Implementation of Orthologic Proof Search. Preprint.

Stainless: Program Verification using OL

Stainless is a tool for verification of Scala programs.

- ▶ Generates Verification Conditions (VC) that are then submitted to SMT solvers
- ▶ VCs are simplified and cached with respect to orthologic.

Stainless: Program Verification using OL



- ▶ The grey-filled boxes represent the time saved thanks to extra caching.
- ▶ Simplification occasionally made the solvers' life harder (hand tuned assertions).
- ▶ OCBSL = Orthocomplemented Bisemilattices⁶

⁶Guilloud, Kunčák. Equivalence Checking for Orthocomplemented Bisemilattices in Log-Linear Time. TACAS 2022.

Lisa's Equivalence Checker

LISA's Kernel contains an algorithm to decide:

Given two formulas ϕ and ψ , does $\phi \sim_{OL} \psi$ hold?

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Given two formulas ϕ and ψ , does $\phi \sim_{OL} \psi$ hold?

- ▶ Worst case $\mathcal{O}(n^2)$ time
- ▶ Also alpha-equivalence, symmetry and reflexivity of equality...
- ▶ Proof Checker uses it instead of syntactic equality.

Other example:

```
1  assume( (a  $\vee$  b)  $\wedge$  ( a  $\vee$  c)  $\vee$  b )
2  have( a  $\vee$  b ) by Restate
```

application: DPLL-like Propositional Solver

For a formula f :

- ▶ Simplify f
- ▶ If it is \top returns **true**. If it is \perp , returns **false**
- ▶ Pick a literal a in f
- ▶ Solve recursively $f[a := \top]$ and $f[a := \perp]$

Ideas: simplify with Orthologic.

Propositional Solver tactic

```
1 def dpll( f: Formula) =  
2   val f = reducedForm(_f)    //computes OL-normal form  
3   if f ==  $\top$  then have(f) by Hypothesis  
4   else if f ==  $\perp$  then fail("Not-a-tautology")  
5   else  
6     val a = findBestAtom(f)  
7     val step1 = subproof :    //solve recursively  
8       have(dpll(f(a :=  $\top$ )))  
9       thenHave(a |- f) by Substitution( $\top \iff a$ )  
10    val step2 = subproof :    //solve recursively  
11      have(dpll(f(a :=  $\perp$ )))  
12      thenHave(!a |- f) by Substitution( $\perp \iff a$ )  
13    have(f) by Cut(step1, step2)
```

Orthologic Type System

- ▶ Type system with subtyping ($<:$), union ($|$), intersection types ($\&$) : **lattice**
- ▶ Intuitively, $t : T_1 | T_2$ iff $t : T_1$ or $t : T_2$

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- ▶ If we add negation types: Ortholattice
- ▶ Intuitively, $t : \neg T$ iff not $t : T$
- ▶ Idea: decide $A <: B$ by checking $A \vdash B$ in orthologic!

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Luckily, OL normalization and proof search with axioms can be extended to support (anti)monotonic functions and still work ($\mathcal{O}(n^2) \cdot |A|$)

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- ▶ Types of things that are not **null**, things that are not functions, ...
- ▶ Record types with depth, width and permutation subtyping
- ▶ Equirecursive types
- ▶ and more

Acknowledgement

- ▶ Viktor Kunčak
- ▶ Sankalp Gambhir
- ▶ Clément Pit-Claudiel, Mario Bucev, Dragana Milovančević

- ▶ Orthologic
 - ▶ Efficient and Predictable Building Block
 - ▶ Normalization algorithm, proof system
 - ▶ Good logical properties (interpolation, ...)
 - ▶ Tons of applications, many more to explore!

Example

Assume $\neg(\neg a \vee (a \wedge b))$. Deduce:

$$\begin{array}{ll} a \wedge (\neg a \vee \neg b) & \text{NNF} \\ a, \quad (\neg a \vee \neg b) & \\ (\neg 1 \vee \neg b) & \text{substituting } a = 1 \\ \neg b & \end{array}$$

Then,

$$\begin{array}{ll} (\neg c \vee b) \vee (\neg b \wedge (c \vee \neg a)) & \\ \sim (\neg c \vee 0) \vee (1 \wedge (c \vee 0)) & \text{substituting } a = 1, b = 0 \\ \sim \neg c \vee c & \\ \sim 1 & \end{array}$$