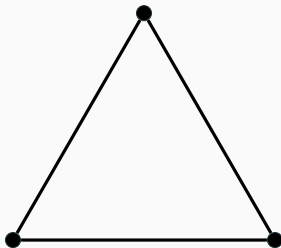


# A FORMAL PROOF OF $R(4,5)=25$

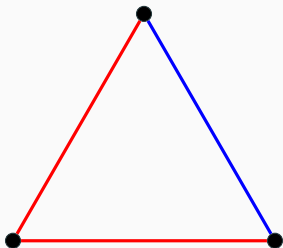
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Thibault Gauthier, Chad Brown

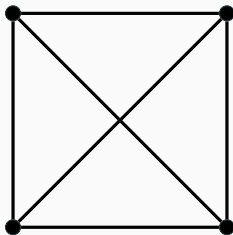
## A complete graph of size 3



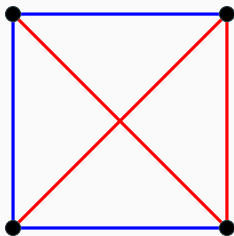
A blue-red coloring of a complete graph size 3  
avoiding blue 3-cliques and red 3-cliques



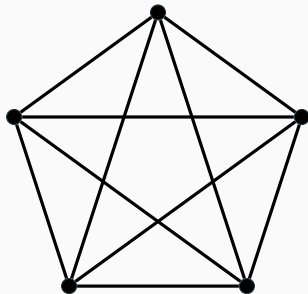
# A complete graph of size 4



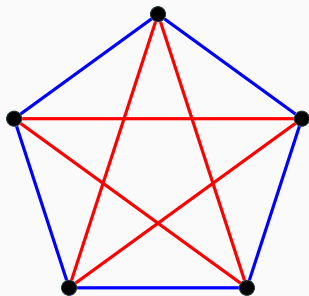
A blue-red coloring of a complete graph of size 4  
avoiding blue 3-cliques and red 3-cliques



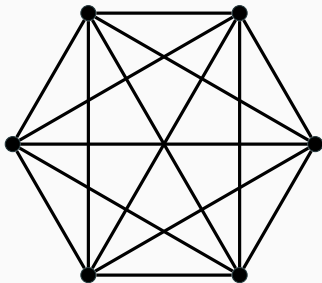
# A complete graph of size 5



A blue-red coloring of a complete graph of size 5  
avoiding blue 3-cliques and red 3-cliques



# A complete graph of size 6





# Definition of the Ramsey Number

The Ramsey number  $R(n, m)$  is the smallest  $k$  such that:

- it is not possible to find a coloring of the complete graph of size  $k$  which avoids blue  $n$ -cliques and red  $m$ -cliques.

Example:  $R(3, 3) = 6$

The set of graphs (modulo isomorphism) of size  $k$  which avoid blue  $n$ -cliques and red  $m$ -cliques is noted  $\mathcal{R}(n, m, k)$ .

A graph in  $\mathcal{R}(n, m, k)$  will be called a  $\mathcal{R}(n, m, k)$ -graph.

Example:  $\mathcal{R}(3, 3, 5) \neq \emptyset$  and  $\mathcal{R}(3, 3, 6) = \emptyset$

We rely on the nauty algorithm to normalize graphs.

# Why prove that $R(4,5) = 25$ ?

Is it simple, general, surprising, enlightening, beautiful?

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“Suppose aliens invade the earth and threaten to obliterate it in a year’s time unless human beings can find  $R(5,5)$ . We could marshal the world’s best minds and fastest computers, and within a year we could probably calculate the value. If the aliens demanded  $R(6,6)$ , however, we would have no choice but to launch a preemptive attack.” Paul Erdős

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How do we find the value of  $R(5,5)$  to avoid imminent death?  
 $R(4,5) = 25$  is an important lemma.

# Why formally prove that $R(4, 5) = 25$ ?

The proof relies on mathematical arguments and a very large computation.  
(multiple CPU years at the time)

Both of these parts could have errors/bugs.

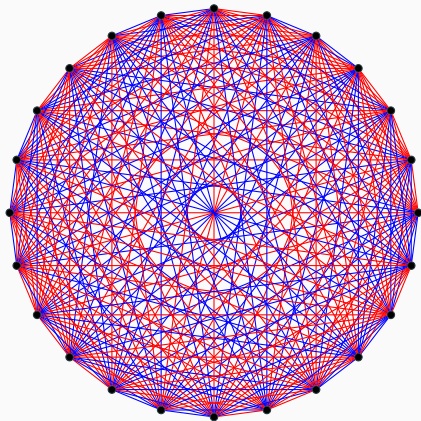
With a formal proof, we can be certain that  $R(4, 5) = 25$ .  
(as long as the HOL4 kernel is sound)

# How to prove that $R(4,5) = 25$ ?

We will prove that  $\mathcal{R}(4, 5, 24) \neq \emptyset$  and that  $\mathcal{R}(4, 5, 25) = \emptyset$ .

$$\mathcal{R}(4, 5, 24) \neq \emptyset$$

The first person to find a  $\mathcal{R}(4, 5, 24)$ -graph is Kalbfleisch in 1965.  
The picture shows the verified  $\mathcal{R}(4, 5, 24)$ -graph (from McKay's website).



The verification took 10 minutes by a simple search algorithm.  
(algorithms for the maximum clique problem can take less than a millisecond)

# How to prove that $\mathcal{R}(4, 5, 25) = \emptyset$ ?

- Idea 1: enumerate all  $\mathcal{R}(4, 5, 25)$ -graphs  
( $2^{(25 \times 24)/2} = 2^{300} \approx 10^{90}$ )
- Idea 2: enumerate all  $\mathcal{R}(4, 5, 25)$ -graphs modulo isomorphism  
(about  $2^{300}/25! \approx 10^{65}$ )
- Idea 3: encode blue 4-cliques and red 5-cliques as SAT clauses
- Idea 4: add symmetry-breaking clauses (and parallelism)
- Plan: McKay and Radziszowski proved that  $\mathcal{R}(4, 5, 25) = \emptyset$ .  
Verify their arguments and replace their gluing algorithm by a SAT solver.



# Plan

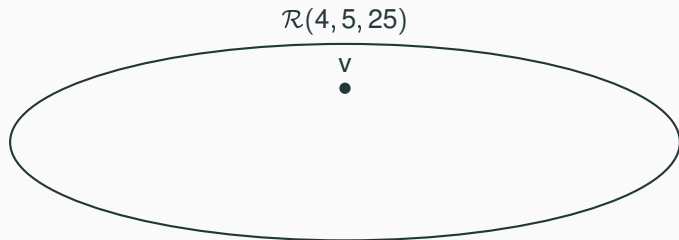
Suppose that there exists a  $\mathcal{R}(4, 5, 25)$ -graph

$\mathcal{R}(4, 5, 25)$

A large, empty, horizontally-oriented oval shape is drawn below the text. It is a simple black outline with no internal details or shading.

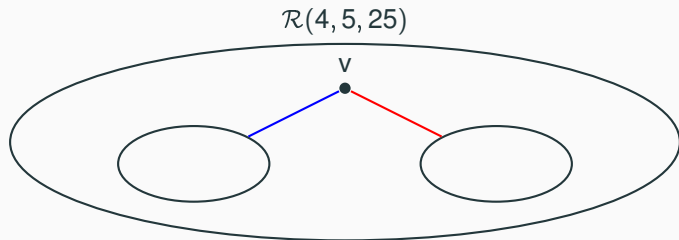
# Plan

Pick a vertex  $v$  in that  $\mathcal{R}(4, 5, 25)$ -graph



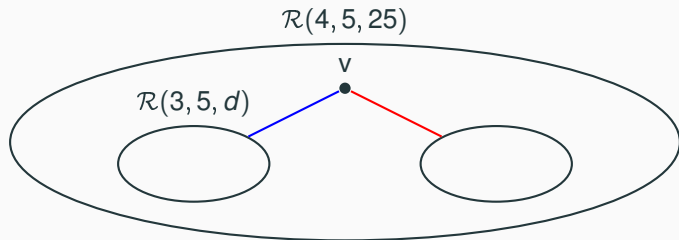
# Plan

Consider the blue neighbors of  $v$  and the red neighbors of  $v$



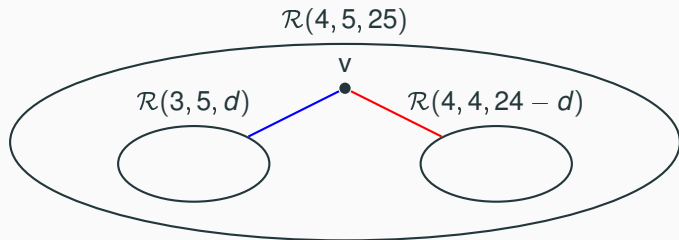
# Plan

Prove that the blue neighbors form a  $\mathcal{R}(3, 5, d)$ -graph



# Plan

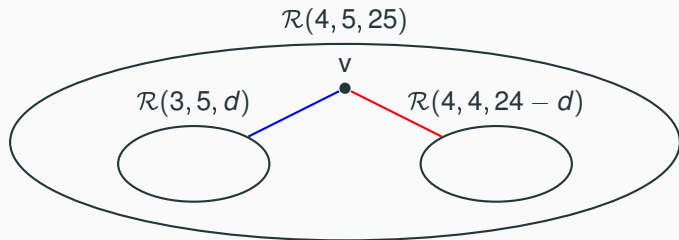
Prove that the red neighbors form a  $\mathcal{R}(4, 4, 24 - d)$ -graph



# Plan

Prove that the vertex  $v$ , any  $\mathcal{R}(3, 5, d)$ -graph and any  $\mathcal{R}(4, 4, 24 - d)$ -graph can not occur together disjointly in a  $\mathcal{R}(4, 5, 25)$ -graph.

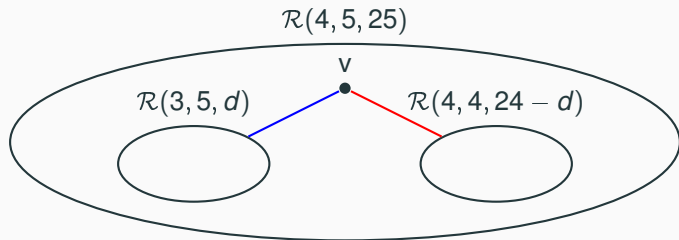
These problems are gluing problems.



$$7 \leq d \leq 13$$

From  $\mathcal{R}(3, 5, 14) = \emptyset$ , we get  $d \leq 13$ .

From  $\mathcal{R}(4, 4, 18) = \emptyset$ , we get  $24 - d \leq 17$  and thus  $7 \leq d$ .



In a  $\mathcal{R}(4, 5, 25)$ -graph,  
there exists a vertex of even degree

The sum of the degree of the vertices of a graph is even.  
(it is two times the number of edges)

In a graph with 25 vertices, if all vertices have odd degree then  
the sum of the degree of the vertices of that graph is odd.

Therefore, there exists a vertex with even degree.

Choose for  $v$  a vertex of even degree  $d$ .

Thus, we now have three cases  $d = 8$ ,  $d = 10$  or  $d = 12$ .



# Estimated time for solving gluing problems

$d$	$ \mathcal{R}(3, 5, d) $	$ \mathcal{R}(4, 4, 24 - d) $	problems	CPU-days
8	179	2	358	0.055
10	313	130816	40945408	8373
12	12	1449166	17389992	7702

How do we estimate the expected gluing time for each  $d$ ?

- Sample 200 random problems
- Measure average time taken by the HOL4 interface to MiniSat

# Strategy for reducing the gluing time

Avoid duplicating work by regrouping similar problems

Regroup similar graphs into generalizations

Lead to fewer problems but more difficult ones

# Regrouping similar graphs into generalizations

$$\mathcal{R}(3, 5, 3) = \{ \triangle, \triangle, \triangle \}$$

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Heuristics:

- minimize overlap between generalizations
- preserves large monochromatic cliques (small clauses)



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$$\mathcal{R}(3, 5, 3) = \{ \triangle, \triangle, \triangle \}$$



$$\mathcal{R}^*(3, 5, 3) = \{ \triangle \}$$

Heuristics:

- minimize overlap between generalizations
- preserves large monochromatic cliques (small clauses)

In the original proof:

- vertices were removed instead of edges.
- the heuristic was based on the number of blue(or red) edges.

# Improvements in estimated time

d	Graphs				Generalizations			
	3,5,d	4,4,24-d	problems	days	3,5,d	4,4,24-d	problems	days
8	179	2	358	0.055	27	2	54	0.018
10	313	130816	40945408	8373	43	11752	505336	572
12	12	1449166	17389992	7702	12	26845	322140	374

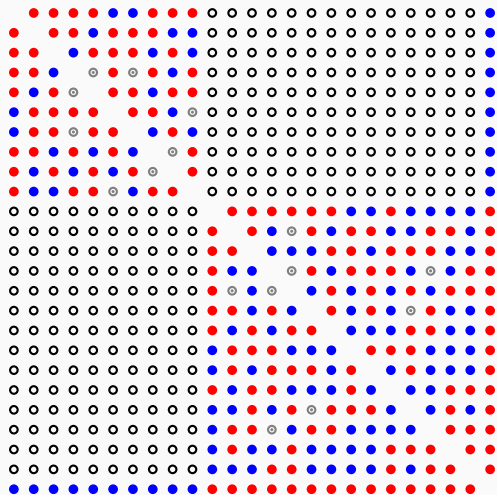
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Formal proof that generalizations cover all graphs:

- an internal first-order solver for graphs
- isomorphism by renaming: vertices are represented by variables

# Adjacency matrix of a gluing problem



A vertex, a  $\mathcal{R}^*(3, 5, 10)$ -generalization and a  $\mathcal{R}^*(4, 4, 14)$ -generalization.  
Prove that it is not possible to color the black edges and the gray edges.

# Encoding a gluing problem into SAT

Create one propositional variable  $E_{a,b}$  for each edge in the graph.

$E_{a,b}$  is true if the edge  $(a, b)$  is blue.

$E_{a,b}$  is false if the edge  $(a, b)$  is red.

No blue 4-clique. For each subset  $S$  of size 4, create the clause:

$$\bigvee_{a,b \in S \wedge a < b} \neg E_{a,b}$$

No red 5-clique. For each subset  $T$  of size 5, create the clause:

$$\bigvee_{a,b \in T \wedge a < b} E_{a,b}$$

Add the unit clause  $E_{a,b}$  if an edge  $(a, b)$  is blue in the matrix.

Add the unit clause  $\neg E_{a,b}$  if an edge  $(a, b)$  is red in the matrix.

Unsatisfiable = cannot color the remaining edges (black and gray).

# Formally proving the gluing problems

We call the HOL4 interface to MiniSat on each gluing problem.  
I would like to thank Weber and Amjad for developing this interface.  
The interface works by calling MiniSat which produces a proof trace that is replayed in HOL4.

This produced 827,530 gluing lemmas in approximately 1,440 CPU-days.  
(higher than the estimated 946 CPU-days)

# Conclusion

We have formally proven that  $R(4, 5) = 25$  in the HOL4 theorem prover.

In summary,  $\mathcal{R}(4, 5, 25) = \emptyset$  can be deduced by contradiction from:

- the existence of a vertex of degree  $d \in \{8, 10, 12\}$ ,
- Enumeration of  $\mathcal{R}(3, 5, d)$  and  $\mathcal{R}(4, 4, 24 - d)$  graphs.
- generalizations forming a cover,
- gluing lemmas.

Is there a simpler proof of  $R(4, 5) = 25$  ?

Could our approach help to prove that  $R(5, 5) = 43$ ?