

Fast and Slow Enigmas and Parental Guidance

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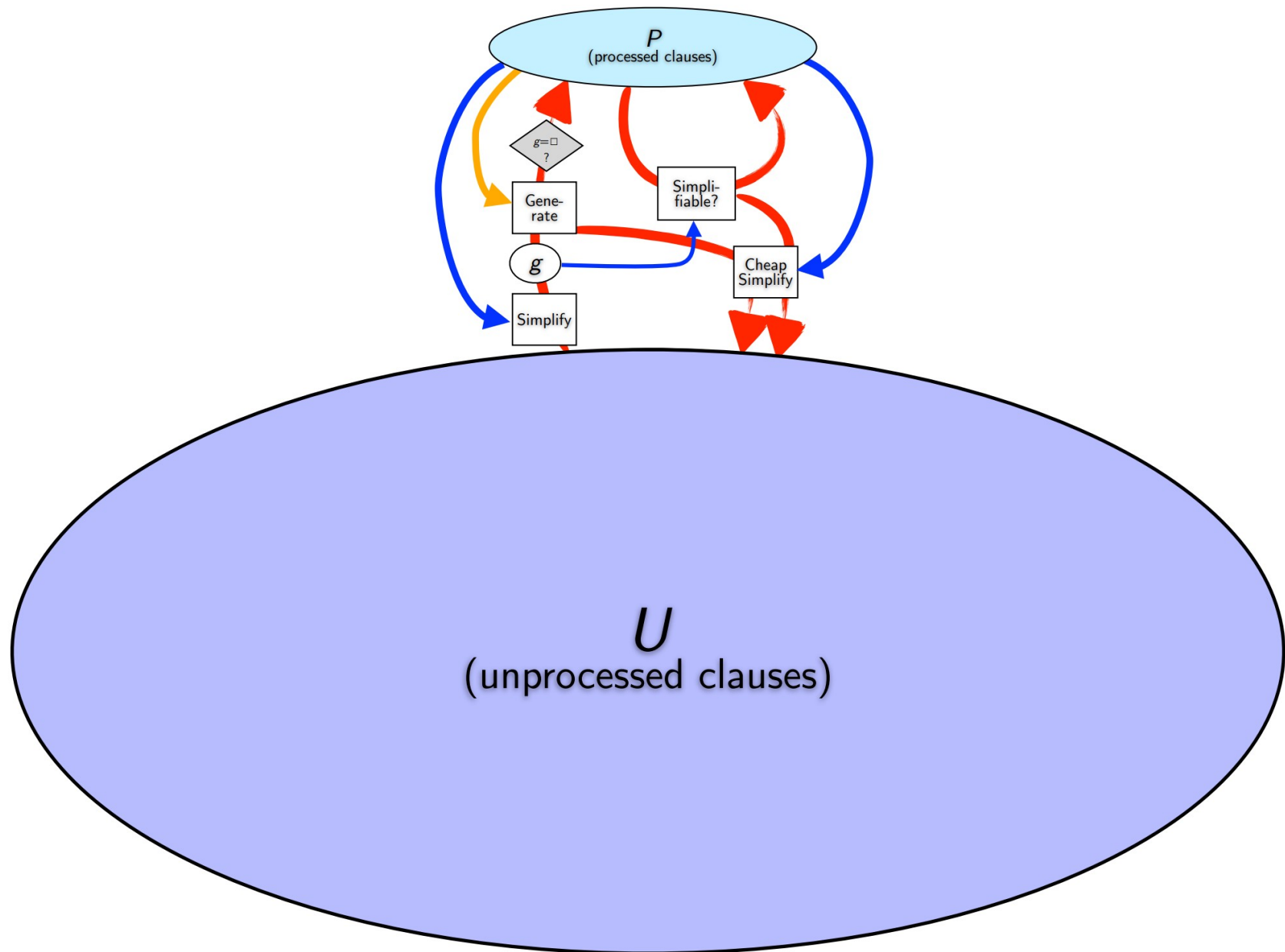
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E Prover (a Saturation-based ATP)

- Goal: Prove conjecture from premises.
- E has two sets of clauses:
 - *Processed* clauses P (initially empty)
 - *Unprocessed* clauses U (Negated Conjecture and Premises)
- Given Clause Loop:
 - Select '*given clause*' g to add to P
 - Apply *inference rules* to g and all clauses in P
 - Process new clauses. Add non-trivial and non-redundant ones to U.
- Proof search succeeds when empty clause is inferred.
- Proof consists of some of the given clauses.

Given Clause Loop in E



E Strategies

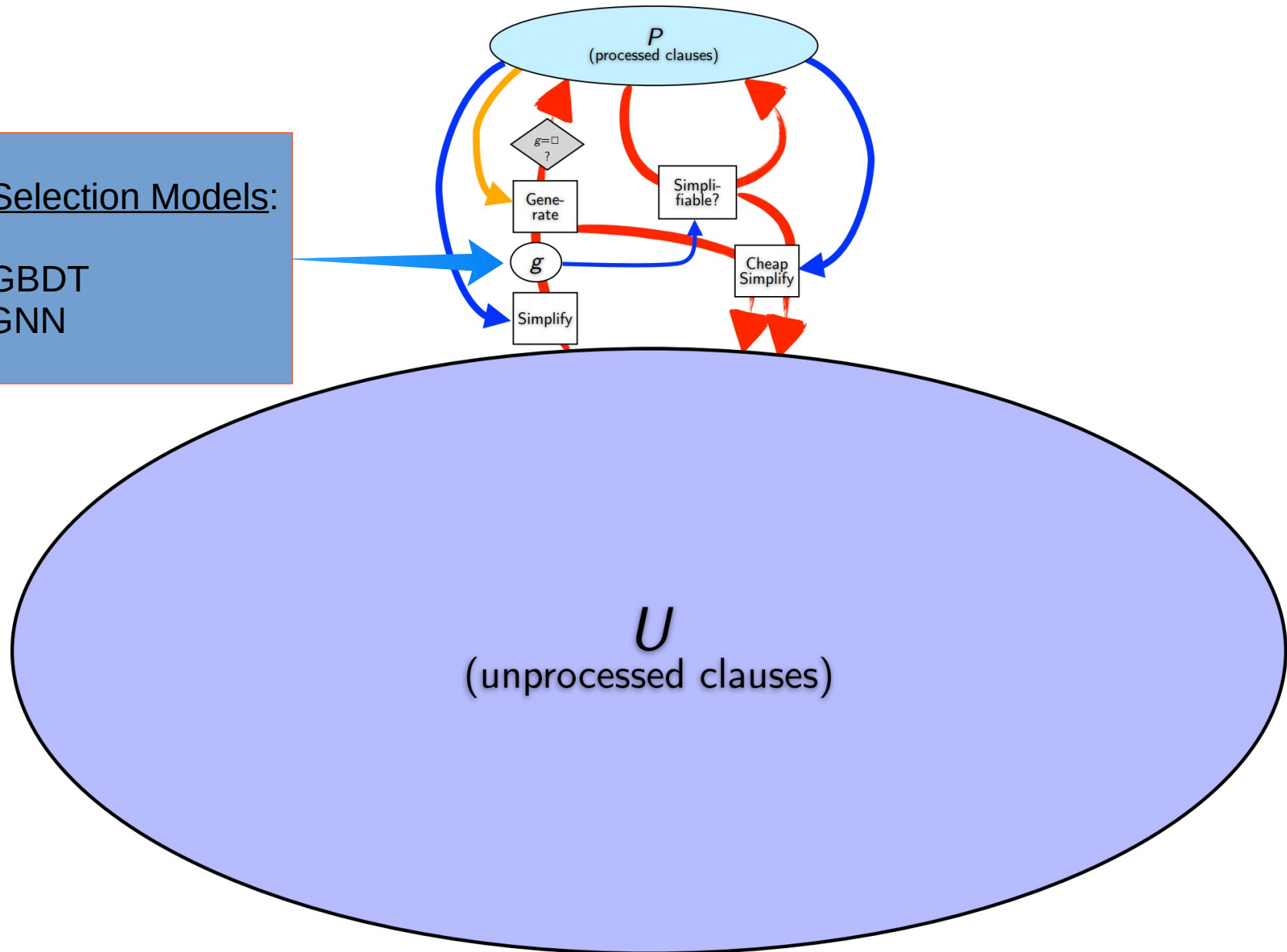
- *Clause Evaluation Functions (CEFs)* consist of:
 - *Priority functions*: partition clauses into priority queues.
 - e.g., *ConstPrio*, *PreferUnit*
 - **Weight functions**: order clauses in queues based on a score.
 - e.g.: **Clauseweight**, **FIFOWeight**
- Weighted by frequency of use, for example:

**-H'(5*Clauseweight(ConstPrio,1,1,1),
1*FIFOWeight(ConstPrio))'**

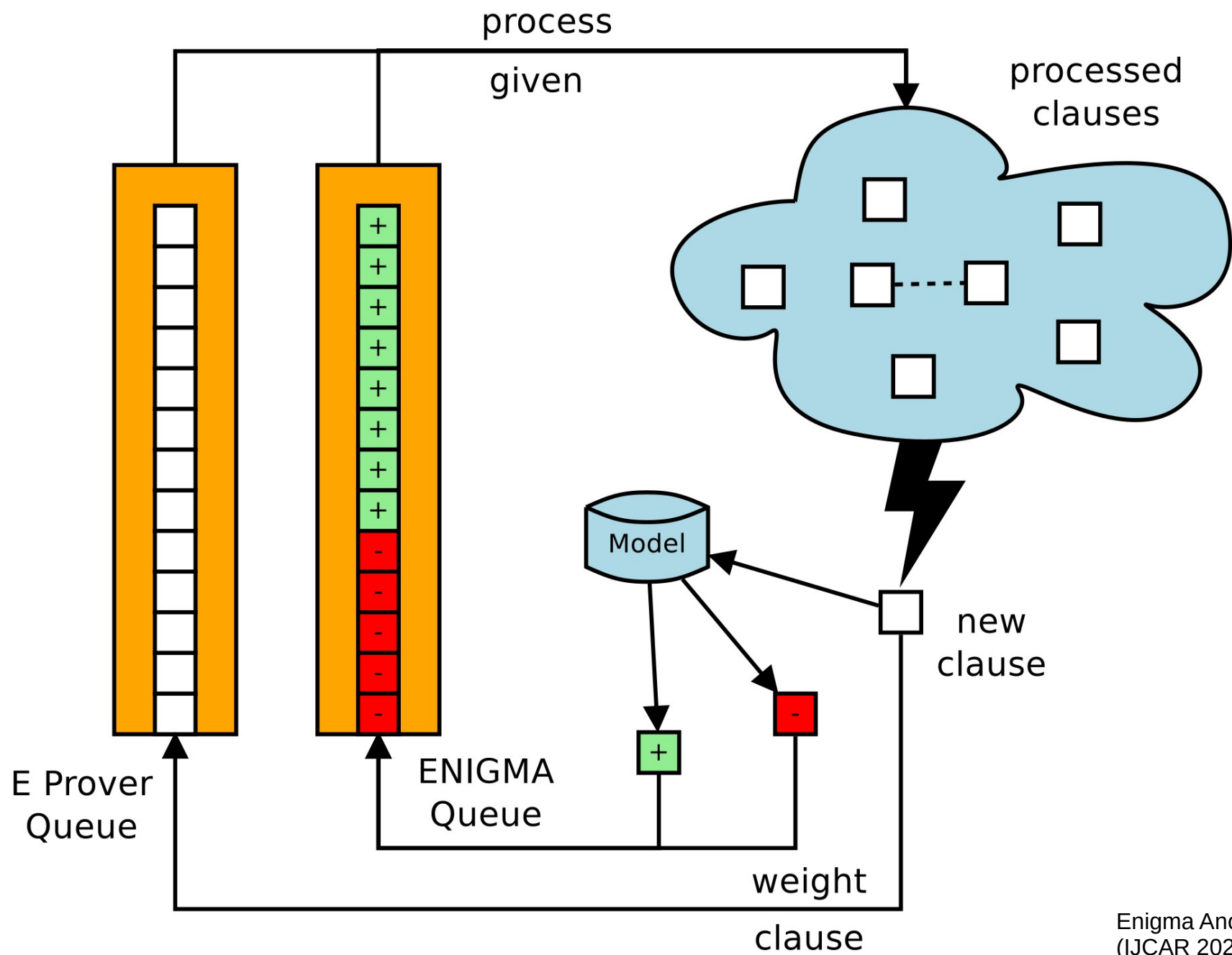
Given Clause Loop in E + ML Guidance

Clause Selection Models:

Fast – GBDT
Slow – GNN



Given Clause Loop in E + ML Guidance



Mizar Experiment Setting

- Mizar Mathematical Library (MML) – 57880 problems
1148 articles

Prior work

Fast – E CEFs: 15k/58k
Fast – GBDT: 24.3k/58k
Slow – GNN: 23.3k/58k
Joint training*: 38k/58k

The diagram illustrates a clause selection algorithm. It features two main sets: U (unprocessed clauses) and P (processed clauses). The process starts with U , where a clause g is generated. This g is then checked if it is simplifiable. If not, it is added to P . If yes, it is simplified and then added to P . The process continues until all clauses in U are processed and added to P . A blue arrow points from the text box to the g node.

```
graph TD
    U([U  
(unprocessed clauses)])
    P([P  
(processed clauses)])
    g((g))
    Gen[Generate]
    Sim1[Simplify]
    Sim2[Simplifiable?]
    CS[Cheap Simplify]
    Dec{g=□  
?}

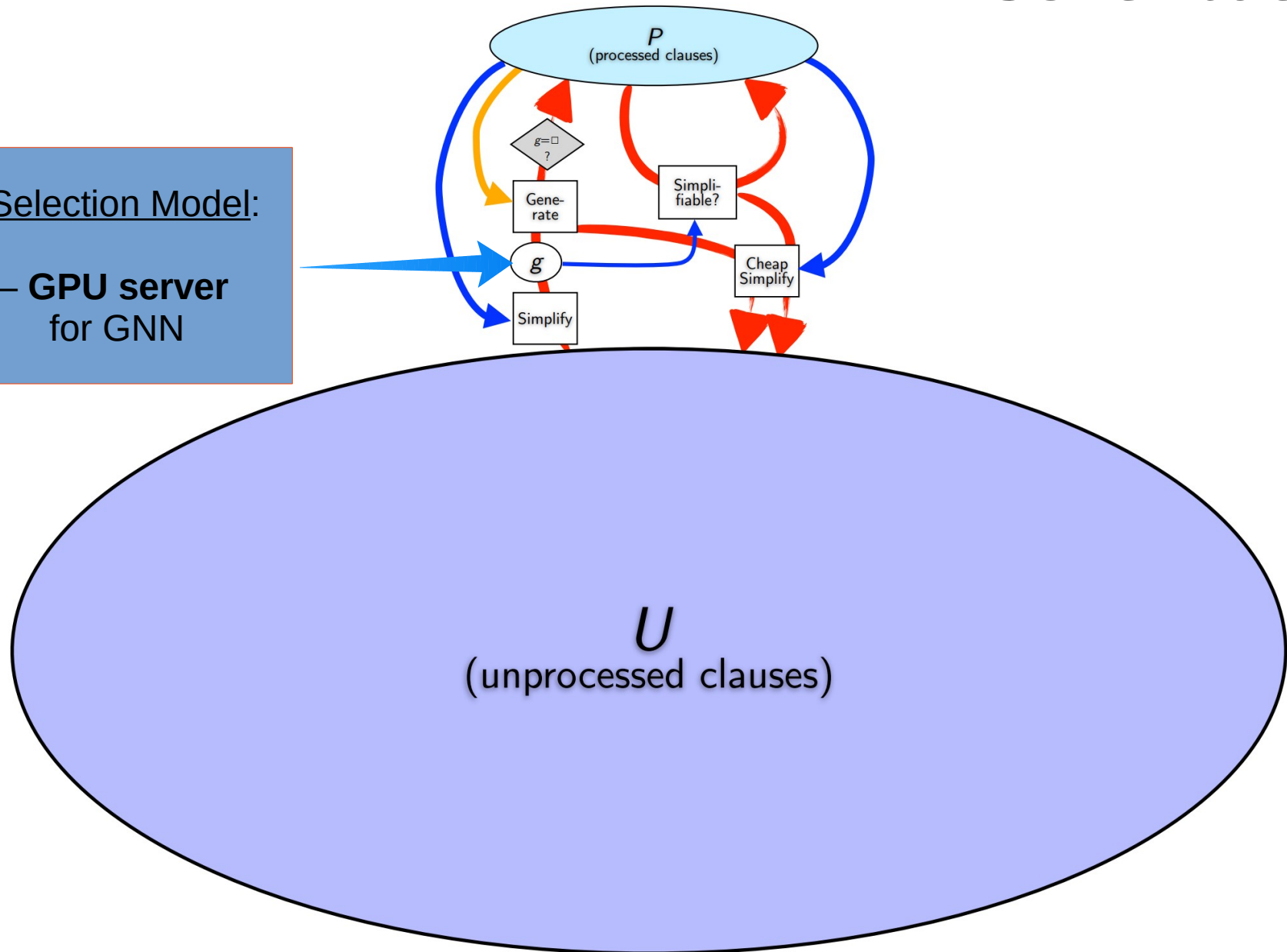
    U --> Gen
    Gen --> g
    g --> Sim1
    Sim1 --> Dec
    Dec --> P
    Dec --> Sim2
    Sim2 --> CS
    CS --> P
    P --> U
```


Given Clause Loop in E + ML Guidance

Contribution 1

Clause Selection Model:

Slow++ – **GPU server**
for GNN

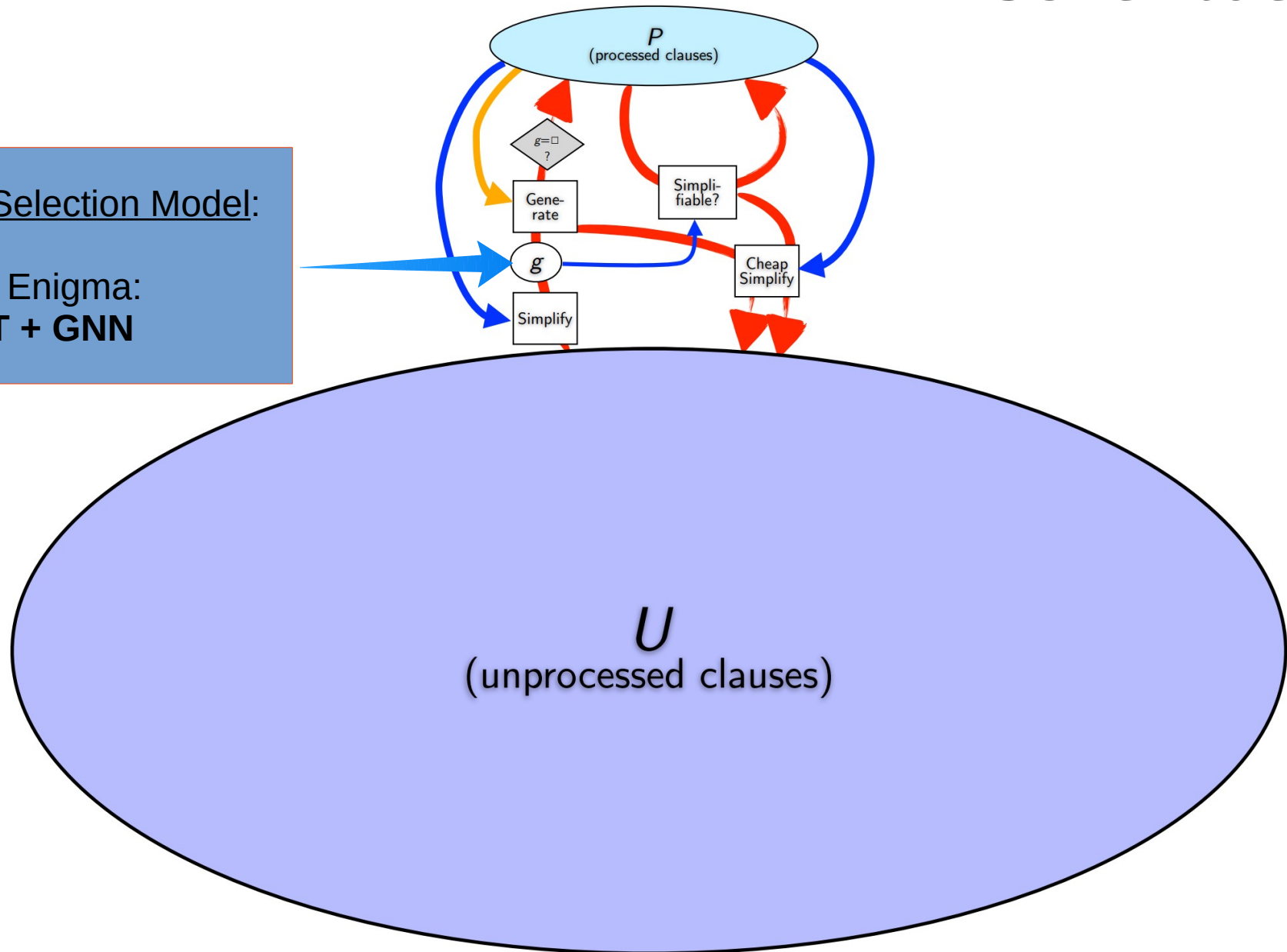


Given Clause Loop in E + ML Guidance

Contribution 2

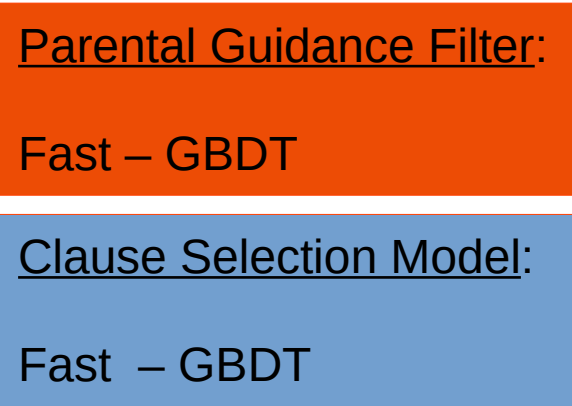
Clause Selection Model:

2-phase Enigma:
GBDT + GNN



Given Clause Loop in E + ML Guidance

Contribution 3



Given Clause Loop in E + ML Guidance

Contribution 4

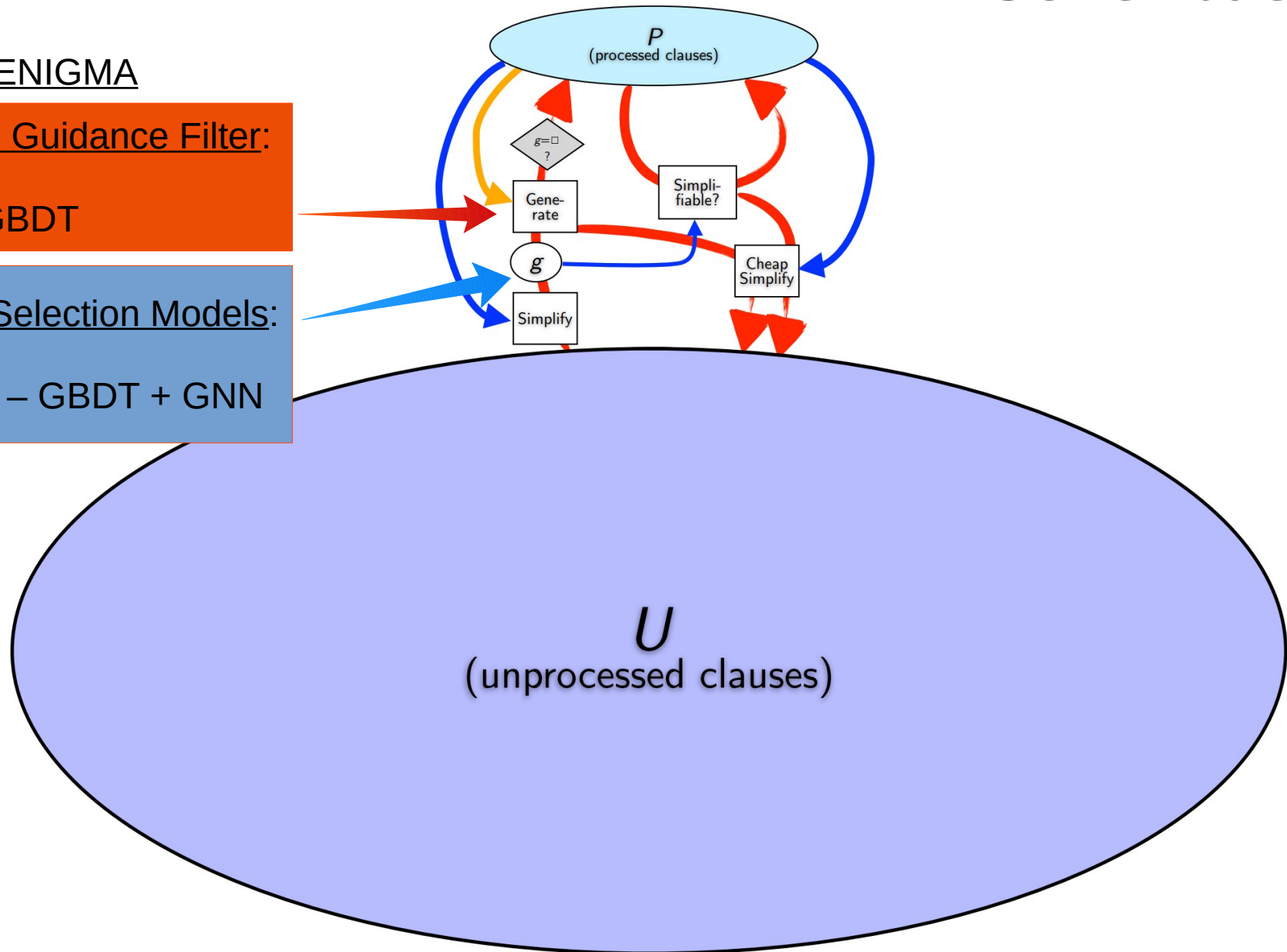
3-phase ENIGMA

Parental Guidance Filter:

Fast – GBDT

Clause Selection Models:

2-phase – GBDT + GNN



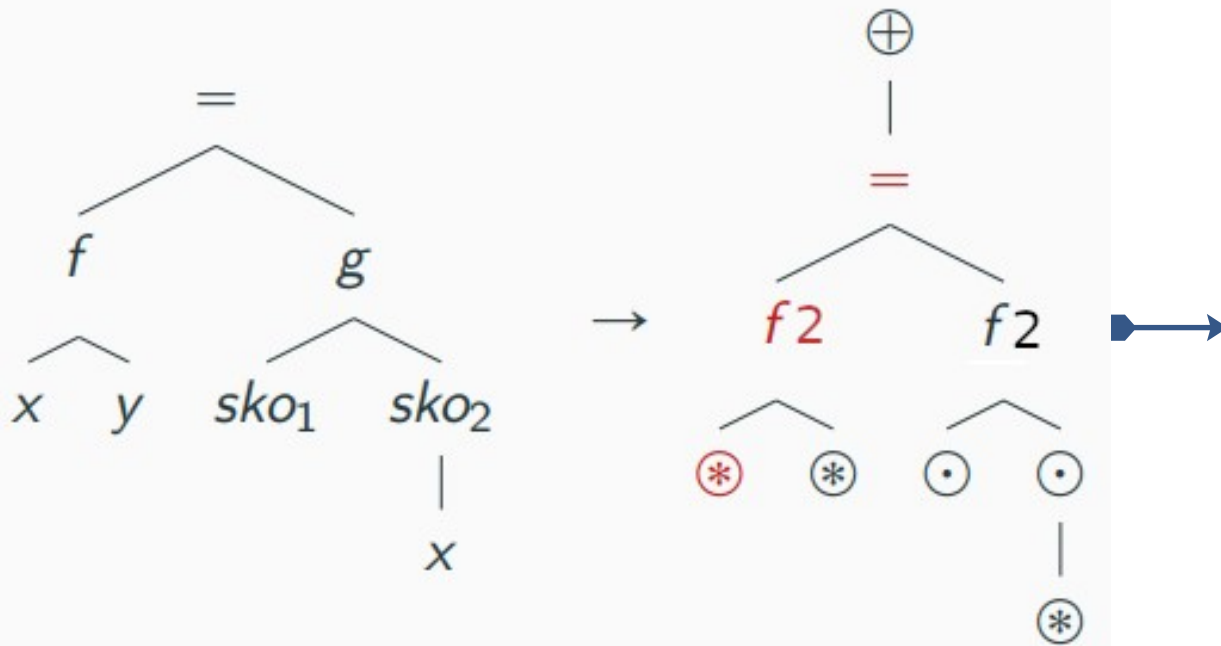
ENIGMA Anonymous

- Statistical machine learning for clause selection.
 - LightGBM (gradient boosted decision trees)
 - GNN (Graph Neural Network)
- Learns from given clauses:
 - *Positive* if in a proof
 - *Negative* otherwise
- Guides E via a weight function.
- Features: given clause + conjecture + theory

Featurization: clauses \rightarrow vectors

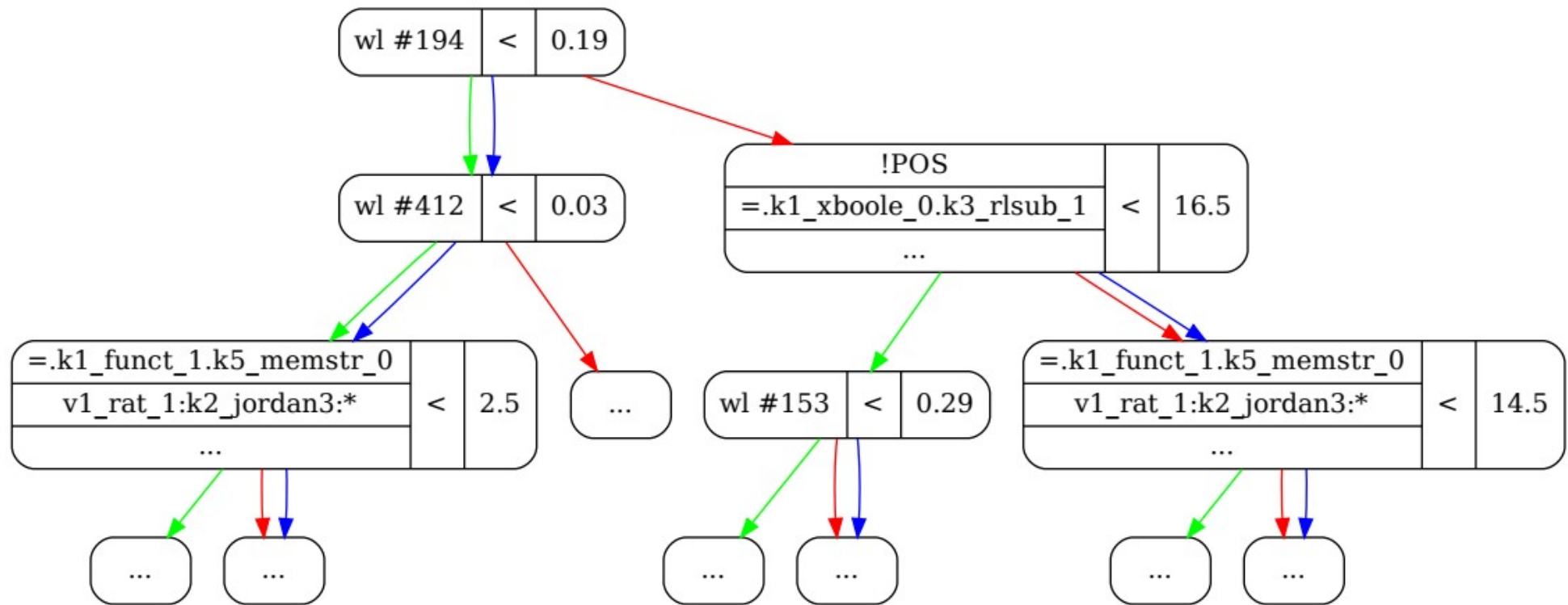
- Treat clauses as trees.
- Abstract vars and skolem symbols.
- Anonymize function and predicate symbols of arity n with “ f_n ” or “ p_n ”.
- Hash features to reduce dimensionality.
- The clause vector consists of feature counts.

For example: $f(x, y) = g(\text{sko}_1, \text{sko}_2(x))$



#	feature	count
1	$(\oplus, =, a)$	0
\vdots	\vdots	\vdots
11	$(\oplus, =, f_2)$	1
12	$(\oplus, =, f_2)$	1
13	$(=, f_2, \otimes)$	2
14	$(=, f_2, \odot)$	2
15	(f_2, \odot, \otimes)	1
\vdots	\vdots	\vdots

Gradient Boosted Decision Tree



*XGBoost tree with non-anonymized watchlist features

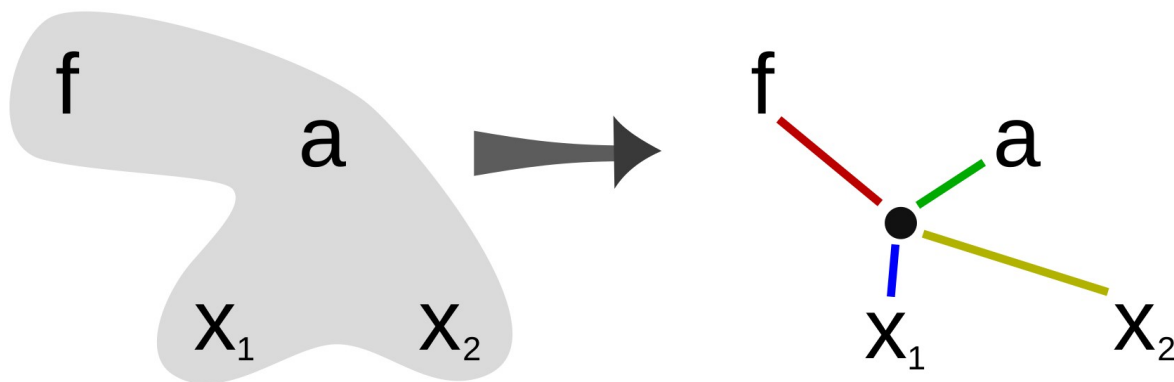
ENIGMA-GNN

- Graph Neural Network
- Directed hypergraph for a set of clauses
- Anonymized symbol names
- Nodes: clauses, functions and predicate symbols, unique (sub)terms, and literals
- Hyperedges:
 - 1) Clauses and literals
 - 2) Functions and predicates with subterms
- Message passing rounds → clause embedding
- Prediction layer

ENIGMA-GNN

- Application $a = f(x_1, x_2, \dots, x_n)$ is represented by a set of 4-ary hyperedges $(f, a, x_1, x_2), (f, a, x_2, x_3), \dots, (f, a, x_{n-1}, x_n)$.

Hyperedges



GPU Server for Faster GNN Eval

- Persistent multi-threaded GPU server
- E clients send batches of clauses for evaluation
- GPU start-up costs are amortized
- More E clients can run in parallel (while waiting for the GPU).

2-phase ENIGMA

- Use a GBDT model as a pre-filter for the GNN
- Clauses with high scores are given high weights
- Otherwise, the GNN scores the clauses

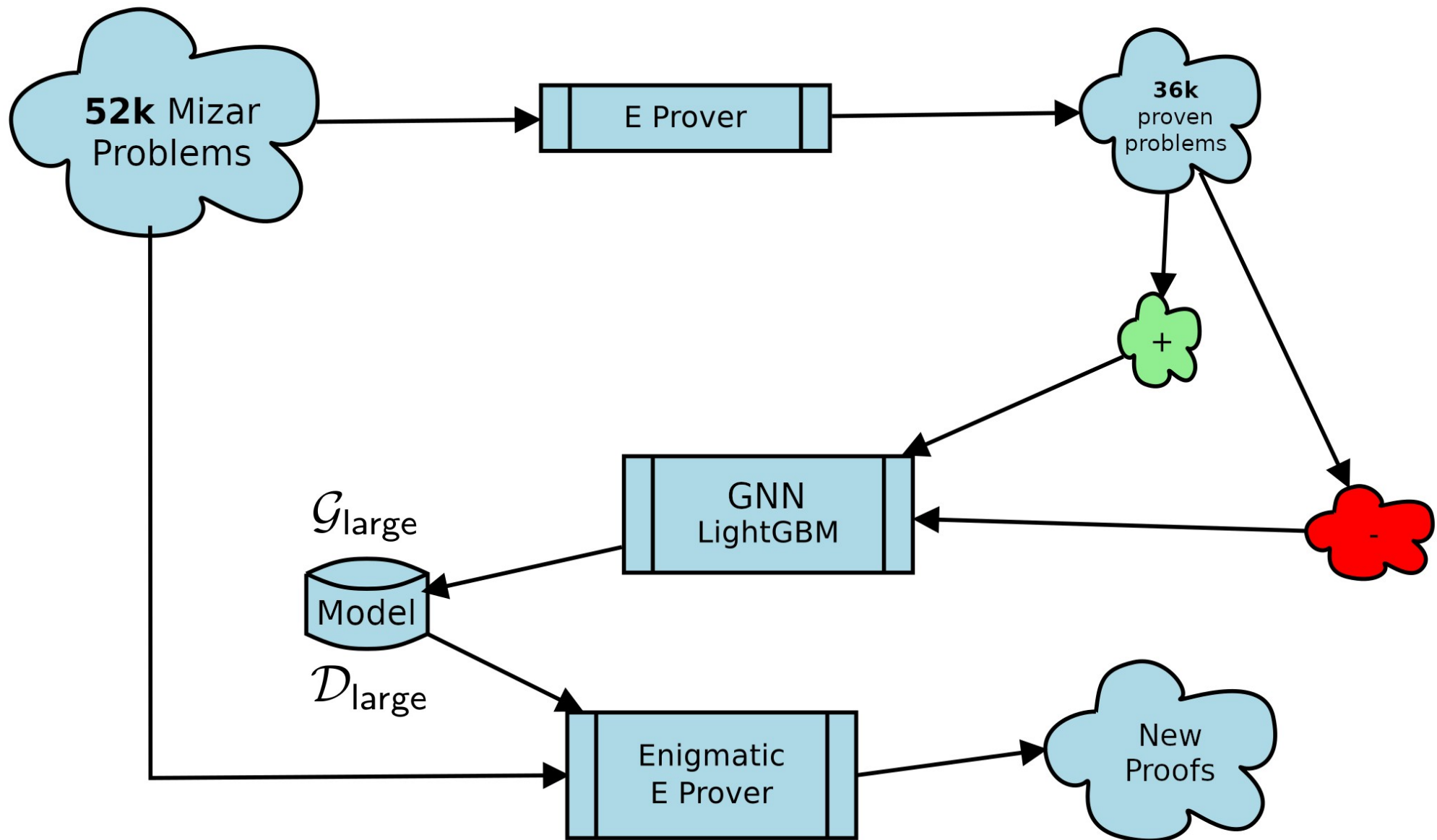
Parental Guidance

- Clause evaluation based on parent clause features.
- Score only *valid* pairs of parents with GBDT model.
- Freeze all clauses with scores below *threshold*.
- Unfreeze clauses if the unprocessed set empties.
- Run with $\mathcal{D}_{\text{large}}$ to select from unfiltered clauses.
- Feature vector options:
 - $\mathcal{P}_{\text{fuse}}$: merge parent vectors into one
 - \mathcal{P}_{cat} : concatenate parent vectors

Mizar Experiment Settings

- 90-5-5% train-development-holdout split
 - Training: 52k problems
 - Small trains: 5792 problems
 - Development: 2896 problems
 - Small devel: 300 problems
 - Holdout: 2896 problems
- Already have 36k problems solved on *training*.
- Train baseline models: GDBT, $\mathcal{D}_{\text{large}}$, and GNN, $\mathcal{G}_{\text{large}}$
 $(\mathcal{D}_{\text{small}})$ $(\mathcal{G}_{\text{small}})$

Mizar Experiment Settings



Parental Guidance Training Data

- Need to judge *all generated clauses*!
- What are responsible parents?

$\mathcal{P}^{\text{proof}}$) Parents of proof clauses are *positive*.

$\mathcal{P}^{\text{given}}$) Parents of processed clauses are *positive*.

Parental Guidance Training Data

- Need to judge *all generated clauses*!
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$\mathcal{P}^{\text{proof}}$) Parents of proof clauses are *positive*.

$\mathcal{P}^{\text{given}}$) Parents of processed clauses are *positive*.

- Run $\mathcal{D}_{\text{large}}$ or $\mathcal{G}_{\text{large}}$ on 52k training set to create data
- **Pos-neg-ratio:** with $\mathcal{P}^{\text{proof}}$ data, is 1:192!

GPU Server Speedup Results

- Compare in the context of parallelization with the CPUs fully saturated:
 - 70-fold parallelization for CPU-only
 - 160-fold parallelization for GPU-server

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set model method time solved				
D	$\mathcal{G}_{\text{large}}$	CPU	30	1311
D	$\mathcal{G}_{\text{large}}$	CPU	60	1380
D	$\mathcal{G}_{\text{large}}$	GPU	30	1511 (+9.5%)

set model method time solved				
H	$\mathcal{G}_{\text{large}}$	CPU	30	1301
H	$\mathcal{G}_{\text{large}}$	CPU	60	1371
H	$\mathcal{G}_{\text{large}}$	GPU	30	1529 (+11.5%)

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- Generates over 4x the clauses in the time limit!

2-phase ENIGMA

- Parameter grid searches on size 300 devel set:
 - Filter threshold
 - GNN clause query size
 - GNN context size

2-phase ENIGMA

Table 2. Final evaluation of the best combination of $\mathcal{D}_{\text{small}}$ with $\mathcal{G}_{\text{small}}$ on the whole development (D) and holdout (H) datasets of size 2896.

set model		thresh. time query context solved				
D	$\mathcal{G}_{\text{small}}$	-	30	256	768	1251
D	$\mathcal{D}_{\text{small}}$	-	30	-	-	1011
D	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.01	60	512	1024	1381 (+10.4%)
D	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.03	60	512	1024	1371 (+9.6%)
D	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.03	30	512	1024	1341 (+7.2%)
D	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.01	30	512	1024	1339 (+7.0%)
H	$\mathcal{G}_{\text{small}}$	-	30	256	768	1277
H	$\mathcal{D}_{\text{small}}$	-	30	-	-	1002
H	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.01	60	512	1024	1392 (+9.0%)
H	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.03	60	512	1024	1387 (+8.6%)
H	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.01	30	512	1024	1361 (+6.6%)
H	$\mathcal{D}_{\text{small}} + \mathcal{G}_{\text{small}}$	0.03	30	512	1024	1353 (+6.0%)

2-phase ENIGMA

Table 3. Final evaluation of the best combination of $\mathcal{D}_{\text{large}}$ and $\mathcal{G}_{\text{small}}$ on the whole development (D) and holdout (H) datasets of size 2896.

set	model	thresh.	time	query	context	solved
D	$\mathcal{G}_{\text{small}}$	-	30	256	768	1251
D	$\mathcal{D}_{\text{large}}$	-	30	-	-	1397
D	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{small}}$	0.3	60	2048	768	1527 (+9.3%)
D	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{small}}$	0.3	30	2048	768	1496 (+7.1%)
H	$\mathcal{G}_{\text{small}}$	-	30	256	768	1277
H	$\mathcal{D}_{\text{large}}$	-	30	-	-	1390
H	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{small}}$	0.3	60	2048	768	1494 (+7.5%)
H	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{small}}$	0.3	30	2048	768	1467 (+5.5%)

2-phase ENIGMA

Table 4. Final evaluation of the best combination of $\mathcal{D}_{\text{large}}$ and $\mathcal{G}_{\text{large}}$ on the whole development (D) and holdout (H) datasets of size 2896.

set model		thresh. time query context solved				
D	$\mathcal{G}_{\text{large}}$	-	30	256	768	1511
D	$\mathcal{D}_{\text{large}}$	-	30	-	-	1397
D	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{large}}$	0.1	60	1024	768	1648 (+9.1%)
D	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{large}}$	0.1	30	1024	768	1615 (+6.9%)
H	$\mathcal{G}_{\text{large}}$	-	30	256	768	1529
H	$\mathcal{D}_{\text{large}}$	-	30	-	-	1390
H	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{large}}$	0.1	60	1024	768	1640 (+7.3%)
H	$\mathcal{D}_{\text{large}} + \mathcal{G}_{\text{large}}$	0.1	30	1024	768	1602 (+4.8%)

Parental Guidance

- Parameter grid searches on size 300 devel set:
 - Filter threshold (0.005 to 0.5)
 - Pos-neg reduction ratio (1 to 16 or ‘as is’)
 - LightGBM params:
 - Num. trees
 - Num. leaves
 - Max depth
 - Parent vector feature form: $\mathcal{P}_{\text{fuse}}$ vs \mathcal{P}_{cat}
 - Data curation method: $\mathcal{P}^{\text{given}}$ vs $\mathcal{P}^{\text{proof}}$

Parental Guidance

Table 5. The best threshold for each tested reduction ratio. The threshold of 0.03 was identical to 0.05 for all tested ratios with $\mathcal{P}_{\text{fuse}}^{\text{given}}$, whereas there are no ties among thresholds for $\mathcal{P}_{\text{fuse}}^{\text{proof}}$.

$\rho_{\text{fuse}}^{\text{given}}$	—	1	2	4	8	16
threshold	0.05	0.05	0.05	0.05	0.05	0.05
solved	161	161	161	161	161	160

$\rho_{\text{fuse}}^{\text{proof}}$	—	1	2	4	8	16
threshold	0.005	0.2	0.2	0.2	0.2	0.2
solved	111	164	163	165	162	164

- Best $\mathcal{P}_{\text{fuse}}$ model solves 171

Parental Guidance

Table 6. The best threshold for each tested reduction ratio of \mathcal{P}_{cat} .

ρ_{cat}	—	1	2	4	8	16
threshold	0.5	0.1	0.05	0.3	0.1	0.05
solved	117	168	170	168	173	169

Parental Guidance

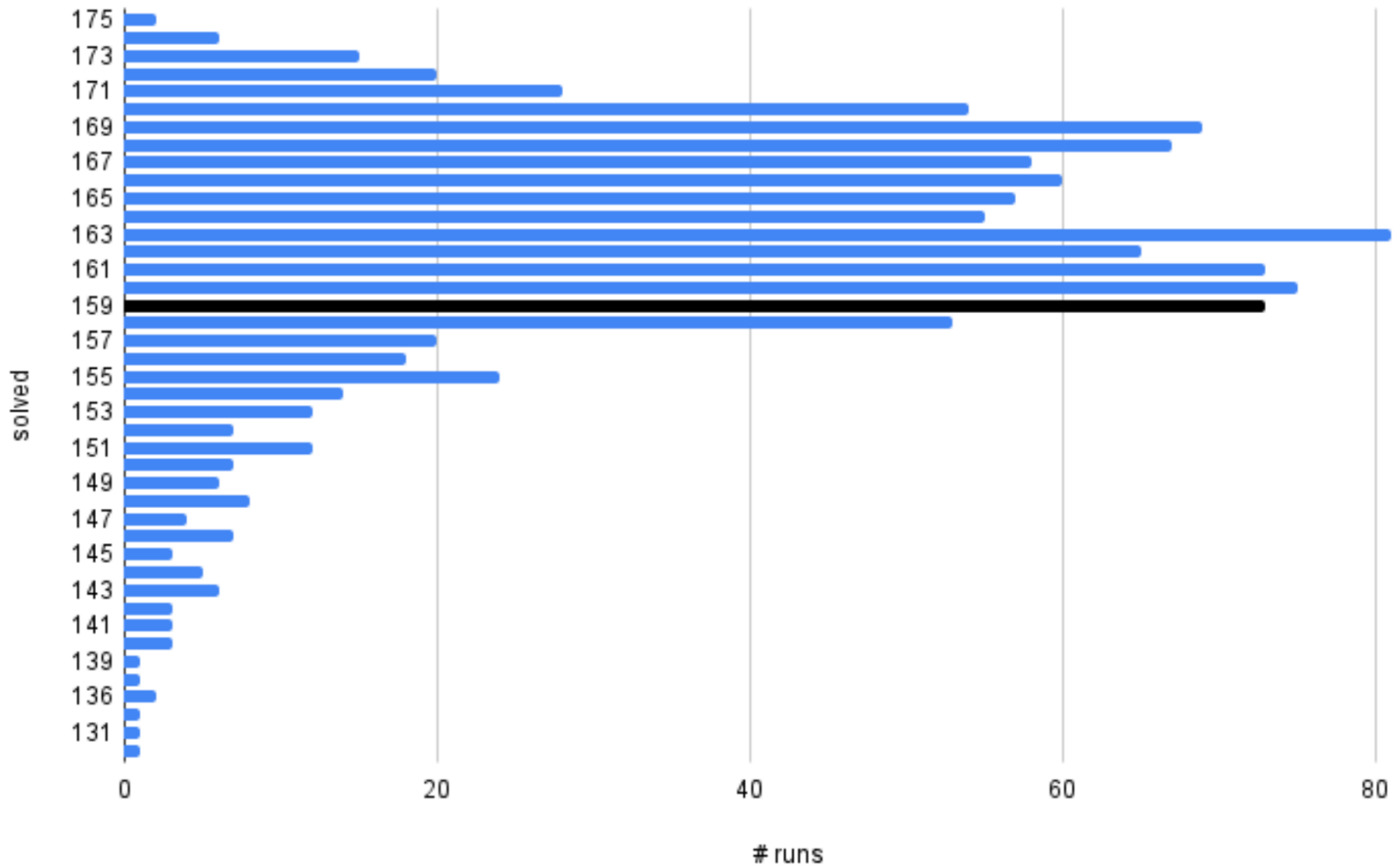


Fig. 1. The number of settings (and runs) corresponding to each number of solutions for the \mathcal{P}_{cat} grid search. The black bar is 159, the number of problems solved by $\mathcal{D}_{\text{large}}$.

Parental Guidance

Table 8. Final 30s evaluation on small trains (T), development (D), and holdout (H) compared with $\mathcal{D}_{\text{large}}$.

model	threshold	solved (T)	solved (D)	solved (H)
$\mathcal{D}_{\text{large}}$	-	3269	1397	1390
$\mathcal{P}_{\text{fuse}}^{\text{given}} + \mathcal{D}_{\text{large}}$	0.05	3302 (+1.0%)	1411 (+1.0%)	1417 (+1.9%)
$\mathcal{P}_{\text{fuse}}^{\text{proof}} + \mathcal{D}_{\text{large}}$	0.1	3389 (+3.7%)	1489 (+6.6%)	1486 (+6.9%)
$\mathcal{P}_{\text{cat}} + \mathcal{D}_{\text{large}}$	0.05	3452 (+5.6%)	1571 (+12.4%)	1553 (+11.7%)

- The model has 100 trees of depth 60 with 8192 leaves.

3-phase ENIGMA

- 2-phase ENIGMA with parental guidance.
- Train a \mathcal{P}_{cat} model on $\mathcal{G}_{\text{large}}$ data.
- Parental guidance only with GNN:
 - **1621** problems on development
 - **1623** problems on holdout.

3-phase ENIGMA

- 2-phase ENIGMA with parental guidance.
- Train a \mathcal{P}_{cat} model on $\mathcal{G}_{\text{large}}$ data.
- Parental guidance only with GNN:
 - 1621 problems on development in 30s
 - 1623 problems on holdout.
- 3-phase:
 - **1631** problems on development.
 - **1632** problems on holdout (+17% over $\mathcal{D}_{\text{large}}$).

Conclusion

- A GPU server renders the GNN more usable
- Combining fast and slow models works (+7%)
- Parent guided clause generation works (+11%)
- Combining all three models works even better (despite being 'slower') (+17%)

Conclusion

- A GPU server renders the GNN more usable
- Combining fast and slow models works (+7%)
- Parent guided clause generation works (+11%)
- Combining all three models works even better (despite being 'slower') (+17%)
- What other models can we integrate into E?