

What Has Artificial Intelligence Ever Done for Us? (Formalizers)

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 - ▶ Automatic generalization of proofs
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- ▶ Questions / discussions

Historical connection of AI, ATP and ITP

Early research in automated reasoning

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- ▶ Machine-oriented algorithmic approaches (Davis, Gilmore, Wang, Prawitz)

After a few years the machine-oriented style took over almost completely, with only a few like Bledsoe pursuing AI.

The early victory of machine-oriented methods

A typical comparison of the time of a machine-oriented approach to FOL against the AI approach of Newell, Shore and Simon:

[...] the comparison reveals a fundamental inadequacy in their approach. There is no need to kill a chicken with a butcher's knife. Yet the net impression is that Newell-Shore-Simon failed even to kill the chicken with their butcher's knife.

Wang, "Toward Mechanical Mathematics" (IBM J. Res. Dev 1960)

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- ▶ Wu's algorithm and Gröbner bases for algebra and geometry

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Such techniques could often solve some quite large and impressive problems.

The seventies: From automated to interactive proving

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It seems paradoxical that 'difficult' full automation was pursued seriously long before 'easy' partial automation.

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I wrote an automatic theorem prover in Swansea for myself and became shattered with the difficulty of doing anything interesting in that direction and I still am. I greatly admired Robinson's resolution principle, a wonderful breakthrough; but in fact the amount of stuff you can prove with fully automatic theorem proving is still very small. So I was always more interested in amplifying human intelligence than I am in artificial intelligence.

Robin Milner, interviewed by Martin Berger, 2003.

Early interactive provers (1960s–1970s)

A non-exhaustive list of early work in the field:

- ▶ Paul Abrahams's Proofchecker
- ▶ Bledsoe and Gilbert's checker for Morse's set theory
- ▶ The SAM family
- ▶ AUTOMATH
- ▶ Mizar
- ▶ LCF

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The last three have been quite influential on the current state of the field. Also important ideas from program verification systems. . .

The state of the art in interactive proof

Progress in interactive theorem proving

Work since the early proof checkers has focused on

- ▶ Exploring various foundations, particularly type-theoretic
- ▶ Efficient and convenient proof input languages
- ▶ Methods for ensuring provers are reliable
- ▶ Developing mathematical libraries
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- ▶ How to support programmability in a proof language without making it unreadable? (Combining ‘procedural’ and ‘declarative’ proof constructs, . . .)
- ▶ How to incorporate decision procedures without sacrificing reliability? (Proof/certificate reconstruction/checking, reflection, . . .)

Some automation available in leading ITPs

- ▶ Conditional rewriting and related simplification
- ▶ Pure logic proof search (SAT, FOL, HOL)
- ▶ Decision procedures for numerical theories (linear arithmetic and algebra, SMT).
- ▶ Quantifier elimination procedures for arithmetical theories
- ▶ Derived procedures for inductive and recursive definitions
- ▶ More specialized decision procedures for particular contexts

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   INDUCT_TAC THEN
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However, it is often a lengthy process to break a less trivial proof down so as to harness automation effectively without having it spin out of control.

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Slightly paradoxical that it is in the world of *interactive* rather than *automated* theorem proving that we have the large datasets needed to train the AI techniques!

Case study: The HOL Light Multivariate library

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 - ▶ Large enough (especially in combination with Flyspeck) to be valuable training material
 - ▶ Plenty of room for improvement in terms of quality of proofs and generality of results.
- ▶ Kaliszyk and Urban's HOL(y)Hammer is already making an impact here and we believe much more may be possible in the future

The core Multivariate library

Covers general properties of \mathbb{R}^n and sometimes more general spaces:

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File	Lines	Contents
misc.ml	2361	Background stuff
metric.ml	8528	Metric spaces and general topology
vectors.ml	10766	Basic vectors, linear algebra
determinants.ml	4733	Determinant and trace
topology.ml	35288	Topology of euclidean space
convex.ml	17826	Convex sets and functions
paths.ml	27867	Paths, simple connectedness etc.
polytope.ml	8952	Faces, polytopes, polyhedra etc.
degree.ml	11934	Degree theory, retracts etc.
derivatives.ml	5763	Derivatives
clifford.ml	979	Geometric (Clifford) algebra
integration.ml	26107	Integration
measure.ml	29806	Lebesgue measure
TOTAL	190910	

Multivariate theories continued

Complex analysis and real analysis as special cases and more:

File	Lines	Contents
complexes.ml	2237	Complex numbers
canal.ml	3907	Complex analysis
transcendentals.ml	7926	Real & complex transcendentals
realanalysis.ml	16258	Some analytical stuff on \mathbb{R}
moretop.ml	8339	Further topological results
cauchy.ml	23773	Complex line integrals
geom.ml	1249	Geometric concepts (angles etc.)
cross.ml	279	Cross products in \mathbb{R}^3
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In total, over 16000 theorems, some trivial, some quite interesting.
Credits: JRH, Marco Maggesi, Valentina Bruno, Graziano Gentili,
Gianni Ciolli, Lars Schewe, ...

A few examples (1)

Brouwer's fixed-point theorem

$\vdash \forall f: \text{real}^N \rightarrow \text{real}^N \ s.$
compact $s \wedge$ convex $s \wedge \neg(s = \{\}) \wedge$
f continuous_on $s \wedge \text{IMAGE } f \ s \ \text{SUBSET } s$
 $\Rightarrow \exists x. x \ \text{IN } s \wedge f \ x = x$

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Invariance of domain:

```
|-  $\forall f: \text{real}^N \rightarrow \text{real}^N$  s.  
    f continuous_on s  $\wedge$  open s  $\wedge$   
     $(\forall x y. x \text{ IN } s \wedge y \text{ IN } s \wedge f x = f y \Rightarrow x = y)$   
     $\Rightarrow$  open(IMAGE f s)
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```

The fundamental theorem of calculus:

```
|-  $\forall f: \text{real} \rightarrow \text{real}$  f' s a b.  
    COUNTABLE s  $\wedge$   
    a  $\leq$  b  $\wedge$  f real_continuous_on real_interval[a,b]  $\wedge$   
     $(\forall x. x \text{ IN } \text{real\_interval}(a,b) \text{ DIFF } s$   
         $\Rightarrow (f \text{ has\_real\_derivative } f'(x)) (\text{atreal } x))$   
     $\Rightarrow (f' \text{ has\_real\_integral } (f(b) - f(a))) (\text{real\_interval}[a,b])$ 
```

A few examples (2)

The Lebesgue differentiation theorem

```
|-  $\forall f: \text{real}^1 \rightarrow \text{real}^N$  s.  
   is_interval s  $\wedge$  f has_bounded_variation_on s  
    $\Rightarrow$  negligible {x | x IN s  $\wedge$   $\neg$ (f differentiable at x)}
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Rademacher's theorem on differentiability of Lipschitz function

$\vdash \forall f: \text{real}^M \rightarrow \text{real}^N \text{ s.}$
 $\text{open } s \wedge$
 $(\exists B. \forall x y. x \text{ IN } s \wedge y \text{ IN } s \Rightarrow \text{norm}(f \ x - f \ y) \leq B * \text{norm}(x - y))$
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   $\Rightarrow$  negligible {x | x IN s  $\wedge$   $\neg$ (f differentiable (at x))}
```

The Little Picard theorem:

```
|-  $\forall f:\text{complex} \rightarrow \text{complex}$  a b.  
  f holomorphic_on (:complex)  $\wedge$   
   $\neg(a = b) \wedge \text{IMAGE } f \text{ (:complex) INTER } \{a,b\} = \{\}$   
   $\Rightarrow \exists c. f = \lambda x. c$ 
```

AI Techniques: achievements and potential

More automated proofs

HOL(y)Hammer's combination of learning and ATP linkup is often able to automate the proof of simple theorems, e.g. union of nowhere dense sets is nowhere dense:

$\vdash \forall s\ t.$

$$\begin{aligned} & \text{interior}(\text{closure } s) = \{\} \wedge \text{interior}(\text{closure } t) = \{\} \\ \Rightarrow & \text{interior}(\text{closure}(s \text{ UNION } t)) = \{\} \end{aligned}$$

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There seems much more potential here:

- ▶ Kaliszyk and Urban reported in 2014 that 39% of the toplevel Flyspeck theorems could be proved automatically.
- ▶ There has been steady progress since then and more can be expected.

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    (MP_TAC o GEN_REWRITE_RULE I [POLYHEDRON_INTER_AFFINE_MINIMAL]) THEN
   REWRITE_TAC[RIGHT_IMP_EXISTS_THM; SKOLEM_THM] THEN
   SIMP_TAC[LEFT_IMP_EXISTS_THM; RIGHT_AND_EXISTS_THM; LEFT_AND_EXISTS_THM] THEN
   MAP EVERY X_GEN_TAC
    ['f:(real^N->bool)->bool'; 'a:(real^N->bool)->real^N';
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   STRIP_TAC THEN
   MP_TAC(ISPECL ['s:real^N->bool'; 'f:(real^N->bool)->bool';
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   ANTS_TAC THENL [ASM_REWRITE_TAC[] THEN ASM_MESON_TAC[]; ALL_TAC] THEN
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   ASM_CASES_TAC 'c:real^N->bool = s' THEN ASM_REWRITE_TAC[] THEN
   DISCH_THEN SUBST1_TAC THEN MATCH_MP_TAC POLYHEDRON_INTERS THEN
   REWRITE_TAC[FORALL_IN_GSPEC] THEN
   ONCE_REWRITE_TAC[SIMPLE_IMAGE_GEN] THEN
   ASM_SIMP_TAC[FINITE_IMAGE; FINITE_RESTRICT] THEN
   REPEAT STRIP_TAC THEN REWRITE_TAC[IMAGE_ID] THEN
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Could also consider reordering of lemmas and dependencies.

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One Multivariate theorem `MATRIX_NEG_NEG`, originally stated for square matrices, generalizes to arbitrary rectangular ones.

This is a real example, but is admittedly relatively trivial. There is scope for *much* more, and the Multivariate library makes the perfect target.

Generalizing from \mathbb{R}^n

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- ▶ In any vector space, normed vector space, or Hilbert space.
- ▶ In any normed space (vector space with a 'norm')
- ▶ In any inner product space (vector space with an 'inner product')
- ▶ In any metric space
- ▶ In any topological space

There are also intermediate possibilities like 'any Hausdorff space' or 'any separable metric space'.

Metric spaces

A metric space is a set X together with a 'distance' function $d : X \times X \rightarrow \mathbb{R}$ satisfying these properties:

- ▶ $\forall x, y \in X. 0 \leq d(x, y)$
- ▶ $\forall x, y \in X. d(x, y) = 0 \Leftrightarrow x = y$
- ▶ $\forall x, y \in X. d(x, y) = d(y, x)$
- ▶ $\forall x, y, z \in X. d(x, z) \leq d(x, y) + d(y, z)$ ('the triangle law')

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The classic example is the Euclidean distance in \mathbb{R}^n :

$$d(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

and in particular $d(x, y) = |x - y|$ over \mathbb{R} . Many analytical theorems originally stated for these special metrics are valid for any metric.

Metric spaces

A metric space is a set X together with a 'distance' function $d : X \times X \rightarrow \mathbb{R}$ satisfying these properties:

- ▶ $\forall x, y \in X. 0 \leq d(x, y)$
- ▶ $\forall x, y \in X. d(x, y) = 0 \Leftrightarrow x = y$
- ▶ $\forall x, y \in X. d(x, y) = d(y, x)$
- ▶ $\forall x, y, z \in X. d(x, z) \leq d(x, y) + d(y, z)$ ('the triangle law')

The classic example is the Euclidean distance in \mathbb{R}^n :

$$d(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

and in particular $d(x, y) = |x - y|$ over \mathbb{R} . Many analytical theorems originally stated for these special metrics are valid for any metric.

HOL Light's Multivariate library has quite a bit of infrastructure and some theorems already proved for metric spaces (mainly by Marco Maggesi), but it would be nice to *automatically* generalize more Euclidean theorems.

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The special case `euclidean_metric` gives the usual distance function `dist` so

$$\text{mdist euclidean_metric } (x, y) = \text{dist } (x, y)$$

and similarly for `real_euclidean_metric` which gives the usual metric on \mathbb{R} (which is not identical with \mathbb{R}^1 in our formulation).

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There are already quite a number of general metric theorems where the Euclidean forms are derived as special cases, so the relationship may well be learnable!

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- ▶ There are structures that drop one or other (pseudometric space, quasimetric space) or strengthen them (ultrametric spaces)
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Discovering less 'obviously similar' concepts like topological spaces seems to be a tall order as one needs to replace metric reasoning with just reasoning about open sets, and so reshape proofs significantly.

Questions?